

A circular stamp from the Office of Intellectual Property (OIPE). The text "OIPE" is at the top, "MAY 02 2006" is in the center, and "PATENT & TRADEMARK OFFICE" is at the bottom. The word "Attorney" is partially visible to the right of the stamp.

Practical Application of:

Application No.: 09/840,583

Confirmation No.: 4046

Filed On: April 23, 2001

Examiner: Timothy M. Harbeck

Art Unit: 3628

For: OPEN END MUTUAL FUND
SECURITIZATION PROCESS

Mail Stop Amendment
Commissioner For Patents
P.O. Box 1450
Alexandria, VA 22313-1450

AMENDMENT TRANSMITTAL

Dear Sir:

Transmitted herewith is an Amendment in the above-identified Application. The proceedings herein are for a patent application and the provisions of 37 C.F.R. § 1.136 apply. The shortened statutory period of response was set to expire on March 1, 2006. A Petition for a Two Month Extension of Time is enclosed herewith setting the time to respond to May 1, 2006. A check in the amount of \$225.00 is enclosed for the extension fee.

No additional fee for the Amendment is required as shown below.

					Small Entity			Other Than A Small Entity	
	Claims Remaining After Amendment	Highest Number Previously Paid For	Present Extra		Rate	Additional Fee		Rate	Additional Fee
Total	61 -	61 =	0		x \$25=			x \$50=	\$0
Independent	6 -	6 =	0		x \$100=			x \$200=	\$0
<input type="checkbox"/> First Presentation of Multiple Dependent Claims					x \$180=			x \$360=	\$0
TOTAL ADDITIONAL FEE									\$0

The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 23-0280. A duplicate copy of this sheet is attached.

☒ Any filing fees under 37 C.F.R. § 1.16 for the presentation of extra Claims.

Respectfully submitted,

Date: April 28, 2006

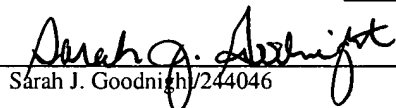
By:

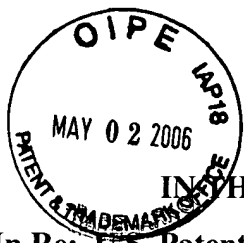


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CERTIFICATE UNDER (37 C.F.R. § 1.8a)

I hereby certify that this correspondence is, on the date shown below, being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to: Mail Stop Amendment, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on April 28, 2006.


Sarah J. Goodnight/244046



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re: U.S. Patent Application of
Kenneth Kiron and Kevin S. Bander

Application No. 09/840,583

Filed: April 23, 2001

For: OPEN END MUTUAL FUND
SECURITIZATION PROCESS

)
) Examiner: Timothy M. Harbeck
)
) Conf. No.: 4046
)
) Art Unit: 3628
)
) Atty Docket No.: 3001 P 015

REPLY TO DECEMBER 1, 2005 NON-FINAL OFFICE ACTION

MAIL STOP AMENDMENT
Commissioner For Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Examiner:

This Reply is in response to the non-final Office Action mailed December 1, 2005. The original period of response to the Office Action is a shortened statutory period of three (3) months from the mailing date. Applicants are filing herewith a Petition for Two Month Extension of Time. Accordingly, the time period for response is extended to May 1, 2006, and this Reply is submitted within that time limit. Reconsideration of the referenced application, including all pending claims, is respectfully requested in light of the amendments and the remarks set forth below.

This Reply is being submitted in a form which complies with revised 37 C.F.R. 1.121. Accordingly, all pending claims are identified by number and appropriate status identifier.

Listing of Claims begin on page 2 of this paper.

Remarks/Arguments begin on page 9 of this paper.